

BARCLAYS OFFICIAL CALIFORNIA CODE OF REGULATIONS
TITLE 9. REHABILITATIVE AND DEVELOPMENTAL SERVICES
DIVISION 1. DEPARTMENT OF MENTAL HEALTH
CHAPTER 2. CONFLICT OF INTEREST CODE

§ 400. General Provisions.

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted Section 18730 of Title 2, California Code of Regulations (CCR), containing the terms of a standard Conflict of Interest Code. Section 18730 may be incorporated by reference, and may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Section 18730 of Title 2, CCR and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendices (in which officials and employees are designated and disclosure categories are set forth), are hereby incorporated by reference. These terms, amendments and Appendices constitute the Conflict of Interest Code of the Department of Mental Health.

Designated employees shall file statements of economic interests with the Department of Mental Health. The Director's original statement shall be sent to the Fair Political Practices Commission and a copy retained by the filing officer.

Note: Authority cited: Sections 87300 and 87306, Government Code. Reference:
Section 87300, et seq., Government Code.

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Appendix A

Designated Employees **Assigned Disclosure Categories**

DEPARTMENT

All Assistant Directors	1
All Special Assistants to the Director	1
All Deputy Directors	1
All Assistant Deputy Directors	1
All Career Executive Assignments (CEA)	1
All Staff Counsels	1
All Information Technology Managers and Supervisors	2
All Accounting Administrators (I, II, III)	2, 3
All Staff Services Managers (I, II, & III)	2, 3
All Mental Health Program Administrators	2
All Mental Health Program Supervisors	2
All Data Processing Managers	2, 3
All Procurement Analysts	3, 4
All Contract Managers	2
All Department Consultants and Contractors*	1
All Hourly Intermittent Employees **	2, 3

DIRECTOR'S OFFICE

Director	1
Chief Deputy Director	1
Assistant Director, External Affairs	1
Special Assistant to the Director	1
Consumer Liaison	2
Medical Director	1
Senior Management Auditor	1
Career Executive Assignments (CEA)	
Assistant Director, Clinical Services	1
Assistant Director, Legislation	1
Chief, Office of Multicultural Services	1
Chief, Office of Human Rights	3
California Mental Health Planning Council	
Executive Officer	1
Mental Health Services Oversight Accountability Commission	1
Executive Officer,	1

Legal Office

Chief Counsel	1
Assistant Chief Counsel	1
All Staff Counsels	1

SYSTEMS OF CARE

COMMUNITY SERVICES

CEA	
Deputy Director, Systems of Care	1
Assistant Deputy Director, Systems of Care	1
Chief, Evaluation Statistics and Support	2, 3 & 4
Chief, Program Policy and County Operations	2, 3 & 4
Chief, Medi Cal Mental Health Policy	2 & 3
Program Support/County Operations North and South	
Mental Health Program Supervisor	2

Staff Mental Health Specialist	2
Associate Mental Health Specialist	2
Associate Governmental Program Analyst	2, 3
Health Education Consultant III	2
Research Manager (I, II)	2
Research Program Specialist (I, II)	2
Research Analyst II	2

ADMINISTRATIVE SERVICES

CEA	
Deputy Director, Administrative Services	1
Chief, Human Resources	3
Chief, Financial Services	1 & 4
Chief, County Financial Program Support	3
Chief, Information Technology	3
Human Resources	
Staff Services Manager	3
Associate Business Management Analyst	3
Health and Safety Officer	2
Business Services Officer	3
Financial Services	
Accounting Administrators	2
Associate Budget Analyst	4
Labor Relations Manager	2

Information Technology	
INFORMATION TECHNOLOGY	
Data Processing Managers	3
Information Technology Managers and Supervisors	3
Hospital Data Processing Managers	3
Hospital Information Technology Managers and Supervisors	3
Information Security Officers	3
Enterprise Architects	3
Information Technology Contract Managers	3
Staff Information Systems Analysts	3
Accounting Supervisors and Managers	3

LONG TERM CARE SERVICES

CEA	
Deputy Director, Long Term Care	1
Assistant Deputy Director, Long Term Care	1
Chief, Hospital Operations	1 & 3
Chief, Hospital Security	1 & 3
Chief, Forensic Services	2
Chief, Sex Offender Commitment Program	3
Chief, Program Policy & Fiscal Support	2, 3 & 4
Forensic Services	
Mental Health Program Supervisors	2

State Hospitals

CEA	
Executive Director	1
Medical Director	1 & 6
Hospital Administrator	1 & 3
Clinical Administrator	1 & 6
Accounting Administrators	2
Accounting Officers	2
Assistant Hospital Administrator	1 3 & 6
Chief, Physician and Surgeon	3 & 6
Chiefs of Plant Operations I, II, III	3
Chief of Professional Education	3 & 6
Program Director Mental Disabilities	3
Data Processing Managers	
Director of Dietetics	3
Assistant Director of Dietetics	3

Hospital General Services Administrators <u>I, II</u>	3 & 6
Patient Benefit and Insurance Officers <u>I, II, III</u> (Supervisory)	1
Pharmacy Services Manager	3 & 6
Pharmacist II	3 & 6
<u>Program Assistant Mental Disabilities</u>	<u>3</u>
<u>Supervisor Clinical Laboratory Technician</u>	<u>3</u>
Senior Special Investigators	4, 5 & 6
Training Officers <u>I, II, III</u>	3
PROGRAM COMPLIANCE	
Deputy Director, Program Compliance	1
Mental Health Program Supervisor	2
Supervising Governmental Auditors	1
All Department Consultants*	1

*Consultants should be included in the list of designated employees and should disclose pursuant to the broadest disclosure category in the Code subject to the following limitation: With respect to Consultants, the Director, however, may determine in writing that a particular consultant, although a "designated person", is hired to perform a range of duties that are limited in scope and thus not required to comply with the disclosure requirements described in this Section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. Nothing herein excuses any such consultant from any other provision of this Economic Interest Code.

** Client and Family Member Expert Pool Members are the designated hourly intermittent employees that should disclose pursuant to the disclosure category in the Code.

Appendix B

Disclosure Categories

Category 1

Designated employees assigned to this category must report:

Interests in **real property** located within one mile of any hospital subject to the Department jurisdiction, and all investments and business positions in business entities and sources of income, including loans, gifts, and travel payments.

Category 2

Designated employees assigned to this category must report:

All investments and business positions in business entities and sources of income, including loans, gifts, and travel payments.

Category 3

Designated employees assigned to this category must report:

Investments and business positions in business entities and sources of income, including loans, gifts, and travel payments, of the type to contract with the Department of Mental Health.

Category 4

Designated employees assigned to this category must report:

Investments and business positions in business entities and sources of income, including loans, gifts, and travel payments, of the type to be eligible to receive grants or other monies (excluding monies received pursuant to the contracts as specified above in Category 3 disclosure).

Category 5

Designated employees assigned to this category must report:

Investments and business positions in business entities and sources of income, including loans, gifts, and travel payments, that are regulated by the division of the Department of Mental Health in which the designated employee holds his or her position.

Category 6

Designated employees assigned to this category must report:

Investments and business positions in business entities and sources of income, including gifts, loans, and travel payments, of the type to be eligible to receive patient referrals for the delivery of health care services or supplies by the hospital to which the employee is assigned.

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