

Appendices

Appendix A-Methodology

Data Sources

This report spans the time period during which consolidation of psychiatric inpatient and specialty mental health services took place. As a result, this report is based on data from three different claiming systems, specifically, the Short-Doyle/Medi-Cal (SD/MC) approved claims file, the Fee-For-Service Medi-Cal (FFS/MC) paid claims file for specialty mental health services, and the Inpatient Consolidation paid claims file. These files were used for client, service, and expenditure data. In addition, a monthly extract from the Medi-Cal Eligibility Data System (MEDS) is the source for all information regarding eligibility.

Unduplicated Counts

The term unduplicated means that individuals are counted as eligibles or clients only once in a fiscal year, regardless of the number of months of eligibility or the number of services received. Unduplicated counts are developed based on matching records using Medi-Cal numbers and other identifiers. Each count is calculated independently, and the sum of the categories will be larger than the total. For example, if a person is eligible under a family aid program for part of the year and a disabled program for part of the year, that person is counted in each aid category, but is counted in the total only once.

Expenditures

The term expenditures refers to the amounts paid in the FFS/MC and Inpatient Consolidation systems, and the amounts approved in the SD/MC system. These amounts are treatment expenditures only and do not include additional administrative expenditures that are incurred by the counties. The amounts in the SD/MC system are later adjusted to actual expenditures through a Cost Report settlement process.

Aid Group Categories

People are eligible for Medi-Cal through a variety of programs. Since the time that Medi-Cal started, the number of different aid programs has grown to over 100 federal and state programs. Although many of the programs are similar, when people are approved to receive Medi-Cal there is a designation of the aid program or category under which they qualify for services. In order to present data, it is useful to organize these aid categories into a more manageable number of groups based on some shared characteristic(s). In this case, the groups were determined based on the results of a cluster analysis, and program and policy considerations. The five groups that are used in this report have been labeled Disabled, Foster Care, Family Adult, Other Adult, and All Other Children aid groups. It should be noted that the labels used to identify the aid groups correspond to the aid groups of the majority of people in each group. The Disabled aid group includes people who are Medi-Cal eligible based on being disabled

as stipulated by Social Security criteria. The Foster Care aid group consists of youths who have been removed from their parents' custody. The Family Adult aid group is comprised of aid categories in which adults are eligible if they have dependent children and if deprivation exists, as defined by the TANF program (called CalWORKs [California Work Opportunity and Responsibility for Kids] in California). The Other Adult aid group contains those aid categories for adults that do not fall into either the Disabled or Family Adult aid groups. Similarly, the All Other Children aid group includes those aid categories for youths that don't meet the specific criteria of the Disabled or Foster Care aid groups. The complete list of aid categories and aid groups is presented in Appendix B.

Eligibles. The term average monthly eligibles is used throughout the report since it is typically used by the Department of Health Services (DHS) and other states in analyzing Medicaid data. The number of average monthly eligibles is determined by summing the total number of persons eligible each month for the fiscal year and then dividing that number by 12.

Appendix B-Aid Categories by Aid Group

The five aid groups used throughout this report were determined based on the results of a cluster analysis of the more than 100 aid categories, and program and policy considerations. Below is the list of aid codes and categories included in each aid group. Two variables, aid category and age, were used to classify eligibles and clients into aid groups. Eligibles and Clients were assigned to aid groups in the following manner:

1. Disabled: All Disabled aid codes regardless of age.
2. Foster Care: All Foster Care aid codes regardless of age.
3. All Other Children: All persons 0-17 years of age who have a Family or Other aid code.
4. Family Adult: All persons 18 years of age and over with a Family aid code.
5. Other Adult: All persons 18 years of age and over who do not have a Disabled, Foster Care, or Family aid code.

Disabled

36-Disabled-California Omnibus Reconciliation Act (COBRA)-Widow/ers
6C-Disabled Adult Child(ren) (DAC)/Disabled (FFP)
6D-Disabled-SSI/SSP Reduction
6F-Disabled-Personal Care Services Program (PCSP)
6G-250% Income Level For the Working Disabled
6N-Former SSI/No Longer Disabled in SSI Appeals Status
6P-Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) No Longer Disabled Children
6R-Grandfathered SSI Disabled Children-SSI Appeals Completed
6V-Model/DDS Regional Waivers (No Share of Cost)
6W-Model/DDS Regional Waivers (Share of Cost)
6X-Model Waiver (No Share of Cost)
6Y-Aid to the Disabled-Model Waiver (FFP)
60-SSI/SSP Aid to the Disabled (FFP)
61-Disabled-SO
62-Disabled-SC
63-Aid to the Disabled-Long Term Care (LTC) Status (FFP)
64-Aid to the Disabled-Medically Needy (FFP)
65-Aid to the Disabled Substantial Gainful Activity/Aged, Blind, Disabled-Medically Needy IHSS (non-FFP)
66-Aid to the Disabled Pickle Eligibles (FFP)
67-Aid to the Disabled-Medically Needy, SOC (FFP)
68-Aid to the Disabled IHSS (FFP)
8A-Qualified Disabled Working Individual

Foster Care

4C-Aid to Families with Dependent Children (AFDC)-Foster Care (FC) Voluntarily Placed (Fed) (FFP)
4G-KinGAP Cash Assistance-State Only For cash payment
4H-Foster Care-Undocs.
4K-Emergency Assistance (EA) Program (FFP)
40-AFDC-FC/Non-Fed (State FC)
41-AFDC-FC-SO
42-AFDC-FC/Fed (FFP)
43-EA-ANED
45-Children Supported by Public Funds (FFP)
5K-EA Foster Care-CWS-State Only

Family

0A-Refugee Cash Assistance (RCA)-Exempt
01-Refugee Cash Assistance (RCA)
02-Refugee Medical Assistance/Entrant Medical Assistance
03-Adoption Assistance Program-Federal
04-Adoption Assistance Program/Aid for Adoption of Children
06-Emergency Assistance (EA)-Unemployed Parent (UP)
07-Asset Waiver Program-200% -Infant OBRA
08-Entrant Cash Assistance (ECA) (FFP)
3A-California Alternative Assistance Program (CAAP)-AFDC-Family Group (FG)
3C-CAAP-AFDC-UP
3D-Family-SSI/SSP Reduction
3E-CalWORKs-Legal Immigrant-All Families (AF)-Mixed
3G-AFDC-FG/CalWORKs-AP
3H-AFDC-FU/CalWORKs Zero Parents (ZP) Mixed
3J-CalWORKs-Diversion-AF
3K-CalWORKs-Diversion-2 Parents
3L-CalWORKs-Legal Immigrant All Families-Mixed
3M-CalWORKs-Legal Immigrant-2 Parents-State Only
3N-AFDC-1931 (B) Non CalWORKs
3P-CalWORKs-AF-Exempt
3R-CalWORKs-ZP-Exempt
3U-CalWORKs-LI-2P-Mixed
3X-CalWORKs-Diversion-AF-State Only
3Y-CalWORKs-Diversion-2P-State Only
30-CalWORKs-All Families
31-AFDC-Family Group-Services Only
32-CalWORKs-All Families-State Only
33-CalWORKs-Zero Parents-State Only
34-AFDC-MN

35-CalWORKs-2P-State Only (cash)
37-AFDC-Medically Needy Share of Cost (SOC)
38-Edwards vs. Kizer
39-Initial Transitional Medi-Cal (6 months)
46-AFDC-FC-20% SS Disregard
5X-Second year TMC (1 year) age 19 & older
54-Four Month Continuing
59-Continuing TMC (6 months)
72-133%-Citizen/Lawful Permanent Resident/PRUCOL/Cond Status
74-133%-Undoc/Temporary Visa (OBRA)
78-Refugee Demonstration Project (RDP)-UP
8N-133% Excess Prop Child Emergency Services Only (ESO)
8P-133% Excess Prop Child
8R-100% Excess Prop Child
8T-100% Excess Prop Child

Other

10-Aged-SSI/SSP-Cash
13-Aged-Long Term Care
14-Aged-Medically Needy (MN)
16-Aged-Pickle Eligible
17-Aged-MN Share of Cost (SOC)
18-Aged-In Home Supportive Services (IHSS)
20-Blind-SSI/SSP-Cash
22-Blind-Special Circumstances (SC)
23-Blind-LTC
24-Blind-MN
26-Blind-Pickle Eligible
27-Blind-MN SOC
28-Blind-IHSS
3V-AFDC-1931 (B) Non CalWORKs-ESO
44-200%-Pregnant Citizen
47-200%-Infant Citizen
48-200%-Pregnant OBRA
49-Income Disregard Program-Pregnancy-Amnesty Alien
5F-OBRA Alien-Pregnant Woman
5G-Non-Immigrant (NI)/Undocumented Pregnant Women
5H-IDP OBRA Pregnant-Poverty Level Programs
5M-OBRA Kids-Poverty Level Programs
5N-OBRA NI/Undocumented Pregnant Women
50-County Medical Services Program (CMSP) OBRA or out of county care
51-Immigration Reform and Control Act (IRCA) Amnesty Alien
52-IRCA Amnesty Alien
53-Medically Indigent (MI)-LTC

55-OBRA not PRUCOL LTC
56-IRCA Special Agricultural Worker (SAW)/Replacement Agricultural Worker (RAW)
57-IRCA SAW/RAW
58-OBRA Alien
6A-Disabled Adult Children (DAC)-Blind
69-200%-Infant OBRA
7A-100%-Citizen Child
7C-100%-OBRA Child
7F-Presumptive Eligibility (PE) Pregnancy Verification Only
7G-PE Ambulatory Prenatal Care
7H-Tuberculosis
7M-Minor Consent (MC) (age 12-21)-7R + Sexually Trans Disease, Drug/Alcohol Abuse
7N-MC (under 12)-all Pregnancy related Services-No SOV
7P-MC (age 12-21)-7M + OP Mental Healthcare
7R-MC (under 12)-Family Planning Sexual Assault
70-200%-Pregnant Citizen or OBRA
71-Dialysis Only Program/Dialysis Services Program
73-Total Parenteral Nutrition (TPN)
75-200%-Pregnant IRCA
76-60 Day Postpartum
77-RDP-FG
79-200%-Infant Citizen
8F-County Medical Services Program (CMSP) Companion Aid Code
8G-Qualified Severely Impaired Working Individual Program Aid Code
8H-Family Planning, Access, Care, and Treatment
80-Qualified Medicare Beneficiary
81-Medically Indigent (MI)-Adults Aid Paid (AAP)
82-MI-Person
83-MI-Person [under age 21]
84-MI-Adult
85-MI-Adult SOC
86-MI-Confirmed Pregnancy (FFP)
87-MI-Confirmed Pregnancy SOC (FFP)
88-MI-A-Disability Pending
89-MI-A-Disability Pending SOC

Note: Aid categories with no eligibles or clients are not listed.

Appendix C-California's Five Regions

Bay Area Region (12):

Alameda, Contra Costa, Marin, Monterey, Napa, San Benito, San Francisco, San Mateo, Santa Clara, Santa Cruz, Solano, and Sonoma counties.

Central Region (19):

Alpine, Amador, Calaveras, El Dorado, Fresno, Kings, Madera, Mariposa, Merced, Mono, Placer, Sacramento, San Joaquin, Stanislaus, Sutter, Tulare, Tuolumne, Yolo, and Yuba counties.

Los Angeles Region (1):

Los Angeles County.

Southern Region (9):

Imperial, Kern, Orange, Riverside, San Bernardino, San Diego, San Luis Obispo, Santa Barbara, and Ventura counties.

Superior Region (17):

Butte, Colusa, Del Norte, Glenn, Humboldt, Inyo, Lake, Lassen, Mendocino, Modoc, Nevada, Plumas, Shasta, Sierra, Siskiyou, Tehama, and Trinity counties.